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| 09/771,472 | 01/26/2001 | Jean Louis Calvignac | RAL920000119US1 | 6208 |
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| IBM CORPORATION PO BOX 12195 DEPT YXSA, BLDG 002 RESEARCH TRIANGLE PARK, NC 27709 | | | EXAMINER TRAN, ELLEN C | |
| | | | ART UNIT 2134 | PAPER NUMBER |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|--------------------------------------|---|--|
| Office Action Summary | Application No. 09/771,472 | Applicant(s) CALVIGNAC ET AL. | |
| | Examiner ELLEN C. TRAN | Art Unit 2134 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 November 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 February 2002 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to: communication filed on 28 November 2007, with acknowledgement of an original application filed 26 January 2001.
2. Claims 1-21 are currently pending in this application. Claims 1, 16, 19, and 21 are independent claims. Claim 21 is new. Amendments to the claims are accepted.

Response to Arguments

3. Applicant's arguments with respect to 1-21 have been considered but they are moot due to new grounds of rejection below or not persuasive where noted below.

In response to Applicant arguments concerning the drawing objections, most of the objection has been removed due to amendment to the specification as well as arguments presented, however Figure 1A is still objected to because the number 101 is not indicated on the box as it previously was on Figure 1.

Drawings

4. The drawings are objected to because:

In FIG 1A, the box on the upper left hand corner next to box 102, is not designated 101 as previously indicated in FIG 1. Appropriate correction is required.

Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets

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may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either “Replacement Sheet” or “New Sheet” pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language

6. **Claims 1, 10-16, and 18-21** are rejected under 35 U.S.C. 102(e) as being anticipated by Van Dyke et al. U.S. Patent No. 7,254,231 (hereinafter ‘231).

As to independent claim 1, “A hardware implementation of a crypto-function comprising: a first register storing data to be encrypted or decrypted” is taught in ‘231 col. 6, lines 12-29;

“a second register for receiving data which has been encrypted or decrypted” is shown in ‘231 col. 6, lines 37-44;

“and combinational logic performing computation iterations of the crypto-function on data stored in the first register and outputting data to said second register in a single hardware cycle” is disclosed in ‘231 col. 4, lines 5-29;

“wherein the combinational logic comprises logic functions whose outputs depend solely on their inputs and utilizes logic circuits without memory” is taught in ‘231 col. 5, line 58 through col. 6, line 2.

As to dependent claim 10, “wherein the hardware implementation of the crypto-function uses only the combinational logic without having to store intermediate results in registers” is shown in ‘231 col. 5, line 58 through col. 6, line 2.

As to dependent claim 11, wherein the hardware implementation the crypt-function computes an iterated round function in one clock cycle” is disclosed in ‘231 col. 5, line 58 through col. 6, line 2.

As to dependent claim 12, “wherein the combination logic utilizes a Data Encryption Standard (DES) algorithm that is implemented in the combination logic” is taught in ‘231 col. 3, lines 29-35.

As to dependent claim 13, “wherein the combination logic utilizes logic functions whose outputs depend solely on their inputs” is shown in ‘231 col. 5, line 58 through col. 6, line 2.

As to dependent claim 14, “wherein the combination logic utilizes logic circuits without memory, whereby no registers are used to store intermediate results or iterations of encipher or deciphering computations” is taught in ‘231 col. 5, line 58 through col. 6, line 2.

As to dependent claim 15, “wherein the crypt-function is implemented in the combinational logic without intermediate registers that require loading and settling time before contents of the intermediate registers can be read” is shown in ‘231 col. 5, line 58 through col. 6, line 2.

As to independent claim 16, “A hardware implementation of a crypto-function comprising: a first register that stores data to be encrypted or decrypted” is taught in ‘231 col. 6, lines 12-27;

“a second register that receives data which has been encrypted or decrypted” is shown in ‘231 col. 6, lines 37-44;

“and combinational logic that performs computation iteration of the crypto-function on data store in the first register and outputting data to said second register in a single hardware cycle, the combinational logic comprising logic functions whose outputs depend solely on their inputs and utilizing logic circuits without memory, wherein the crypt-function without intermediate registers that require loading and settling time before contents of the intermediate registers can be read” is disclosed in ‘231 col. 4, lines 5-29 and col. 5, line 58 through col. 6, line 2.

As to dependent claim 18, wherein the hardware implementation of the crypto-function computes and iterated round in just one clock cycle” is disclosed in ‘231 col. 5, line 58 through col. 6, line 2.

As to independent claim 19, “A hardware implementation of a crypto-function comprising: a first register that stores data to be encrypted or decrypted” is taught in ‘231 col. 6, lines 12-29;

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“a second register that receives data which has been encrypted or decrypted” is shown in ‘231 col. 6, lines 37-44;

“and combination logic that performs computation iteration of the crypto-function on data stored in the first register and outputting data to said second register in a single hardware cycle” is disclosed in ‘231 col. 4, lines 5-29;

“the combination logic comprising logic functions whose outputs depend solely on their inputs and utilizing logic circuits without memory, wherein the single hardware cycle comprises several clock cycles” is taught in ‘231 col. 5, line 58 through col. 6, line 2.

As to dependent claim 20, **“wherein the crypto-function is implemented in the combination logic without intermediate registers that require loading and settling time before contents of the intermediate registers can be read”** is shown in ‘231 col. 5, line 58 through col. 6, line 2.

As to independent claim 21, **“A hardware implementation of a crypto-function comprising: a first register storing data to be encrypted or decrypted, wherein the inputs to the first register are bits from an initial value accumulator, a data register, and a key register”** is taught in ‘231 col. 6, lines 12-29;

“combination logic performing computational iterations of the crypto-function on data stored in the first register and outputting data to a second register in a single hardware cycle” is disclosed in ‘231 col. 4, lines 5-29;

“bits from the initial value accumulator and the data register are exclusive ORed and then subjected to an initial permutation in a permutation logic” is taught in ‘231 col. 4, lines 25-67;

“an output of the permutation logic comprising a logic block performing a key-dependent computation which involves the key schedule” is shown in ‘231 col. 5, lines 41-55;

“and an output key register being subject to a permutation choice in another permutation logic” is disclosed in ‘231 col. 4, lines 41-67;

“wherein the combination logic comprises logic functions whose outputs depend solely on their inputs and utilizes logic circuits without memory” is taught in ‘231 col. 5, line 58 through col. 6, line 2.

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. **Claims 2-9 and 17**, are rejected under 35 U.S.C. 103(a) as being unpatentable over Van Dyke et al. U.S. Patent No. 7,254,231 (hereinafter ‘231) in view of Kaplan et al. U.S. Patent No. 6,704,871 (hereinafter ‘871).

As to dependent claim 2, the following is not explicitly taught in ‘231: “wherein the crypto-function is a block cipher algorithm” however ‘871 teaches that the DES algorithm comprises block cipher algorithm in col. 10, lines 15-17.

It would have been obvious to one of ordinary skill in the art at the time of the invention of a hardware implementation of a crypto-function taught in '231 to include a means to utilize a digital signal processor (DSP) that implements various encryption algorithms. One of ordinary skill in the art would have been motivated to perform such a modification because of the growing use of DSP and the security needed with transmission see '871(col. 1, lines 30 et seq.) "Digital signal processors (DS) are widely used in devices such as modems, cellular telephones and facsimiles. With an increase in digital communications, data transmission security has become an issue in numerous DSP applications. A standard DSP is not capable of providing data transmission security; thus additional hardware and software are required".

As to dependent claim 3, "wherein the crypto-function is the Data Encryption Standard (DES) algorithm" is shown in '231 col. 3, lines 29-35 and '871 col. 10, lines 15-17.

As to dependent claim 4, "wherein the crypto-function is the CHAIN algorithm" is disclosed in '871 col. 10, lines 15-17.

As to dependent claim 5, "wherein the combinational logic performs an invertible key-dependent round function iterated a predetermined number of times" is taught in '231 col. 1, line 57 through col. 2, line 29.

As to dependent claim 6, "wherein the combination logic performs mixing, permutation and key-dependent substitution in each round" is shown in '231 col. 1, line 57 through col. 2, line 29.

As to dependent claim 7, "wherein the combinational logic enciphers a block by performing an initial permutation of a block to be enciphered and then a complex key-

dependent computation followed by a permutation which is an inverse of the initial permutation” is disclosed in ‘231 col. 1, line 57 through col. 2, line 29.

As to dependent claim 8, “wherein the combinational logic deciphers a block by performing deciphering using the same key as used to encipher the block in a process that is an inverse of the enciphering process” is taught in ‘231 col. 1, lines 11-29.

As to dependent claim 9, “wherein the one hardware cycle is approximately ten clock cycles” is shown in ‘871 col. 10, lines 13-25.

As to dependent claim 17, “wherein the single hardware cycle is approximately ten clock cycles” is disclosed in ‘871 col. 10, lines 13-25.

Conclusion

9. It is noted, PATENTS ARE RELEVANT AS PRIOR ART FOR ALL THEY CONTAIN “The use of patents as references is not limited to what the patentees describe as their own inventions or to the problems with which they are concerned. They are part of the literature of the art, relevant for all they contain.” In re Heck, 699 F.2d 1331, 1332-33, 216 USPQ 1038, 1039 (Fed. Cir. 1983) (quoting In re Lemelson, 397 F.2d 1006, 1009, 158 USPQ 275, 277 (CCPA 1968)). A reference may be relied upon for all that it would have reasonably suggested to one having ordinary skill the art, including nonpreferred embodiments (see MPEP 2123).

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen C Tran whose telephone number is (571) 272-3842. The examiner can normally be reached from 7:30 am to 4:00 pm. If attempts

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to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on (571) 272-3811. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Ellen Tran/
Examiner, Art Unit 2134
Ellen Tran
13 February 2008